

Evaluation of a preclinical photon-counting CT prototype for pulmonary imaging

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Author contributions statement

FKK, FP, DP, RP, PD and PBN designed the study.
FKK, DP, and PBN created the lung phantom.
HD, SSM, SE, BB, FP, ER, DP, RP and PBN acquired the prototype data.
FKK, APS, AAF and PBN acquired conventional data.
FKK, DP, EJ and PBN wrote the analysis plan.
FKK, ER, EJ, PD and PBN provided data, analysis tools, and coordinated data analysis.
FKK, HD and APS carried out experiments and data analysis.
All authors reviewed the manuscript before submission.

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HD, BB, ER and RP are employees at Philips Research Hamburg. All other authors declare no potential conflict of interest.

1 The purpose of this study was to investigate a preclinical spectral photon-counting CT
2 (SPCCT) prototype compared to conventional CT for pulmonary imaging. A custom-made
3 lung phantom, including nodules of different sizes and shapes, was scanned with a preclinical
4 SPCCT and a conventional CT in standard and high-resolution (HR-CT) mode. Volume
5 estimation was evaluated by linear regression. Shape similarity was evaluated with the Dice
6 similarity coefficient. Spatial resolution was investigated via MTF for each imaging system.
7 In-vivo rabbit lung images from the SPCCT system were subjectively reviewed. Evaluating
8 the volume estimation, linear regression showed best results for the SPCCT compared to CT
9 and HR-CT with a root mean squared error of 21.3 mm³, 28.5 mm³ and 26.4 mm³ for
10 SPCCT, CT and HR-CT, respectively. The Dice similarity coefficient was superior for
11 SPCCT throughout nodule shapes and all nodule sizes (mean, SPCCT: 0.90; CT: 0.85; HR-
12 CT: 0.85). 10% MTF improved from 10.1 LP/cm for HR-CT to 21.7 LP/cm for SPCCT.
13 Visual investigation of small pulmonary structures was superior for SPCCT in the animal
14 study. In conclusion, the SPCCT prototype has the potential to improve the assessment of
15 lung structures due to higher resolution compared to conventional CT.

1 **1. Introduction**

2 Over the last decades, high-resolution computed tomography (HR-CT) has demonstrated to
3 be a valuable tool for detection of lung diseases and exploration of the lung ¹⁻⁵. While air is
4 carried to the lungs, it passes several structures, including trachea, bronchi, and bronchioles,
5 which have features and structures within – or currently below – the spatial resolution of HR-
6 CT systems. When it comes to pathological changes in the lung, HR-CT has a significant role
7 in the diagnostic evaluation and therapy design ⁶. One example is the detection and
8 classification of lung nodules. Lung cancer is one of the most common diseases worldwide ⁷.
9 Siegel et al. estimate that in 2018 25% of all cancer deaths in the United States of America
10 will be caused by lung cancer ⁸. For classification of lung nodules, apart from growth rate, the
11 shape and surface of the nodule is a clinically accepted marker to distinguish between benign
12 and cancerous nodules. In comparison, malignant nodules are more likely to present
13 themselves with irregular shapes, rougher surfaces, and speckled patterns ⁹. A superior spatial
14 resolution could not only improve the classification of small pulmonary nodules (≥ 4 mm)
15 during the clinical routine ¹⁰ but also improve the performance of software-based
16 classification systems ¹¹. A different example is the early diagnosis of chronic obstructive
17 pulmonary disease (COPD), which is gaining in importance worldwide ¹². In COPD airflow
18 obstruction and airway inflammation frequently lead to a destruction of alveolar architecture
19 with enlargement of distal airspaces. For early detection, HR-CT allows the clinician to
20 assess wall thickness, which is currently only possible for larger airways ^{13,14}. Next
21 generation HR-CT systems would allow a more robust evaluation of the larger and small
22 airways. Thus, an earlier detection of COPD could become feasible.

23

24 Present clinical computed tomography (CT) systems are equipped with energy-integrating
25 detectors with detector pixel dimensions in the range of approximately 1.0 mm. Recently, an

1 ultra-high resolution CT – based on present detector technology – with pixel dimensions of
2 0.25 mm has been introduced with a focus on pulmonary and cardiovascular applications ^{15–}
3 ¹⁷. A different detection concept, which is currently investigated for its diagnostic range, are
4 photon-counting detectors (PCD) ^{18,19}. The essential advantage of a spectral photon-counting
5 CT (SPCCT) system is that incoming x-ray photons are directly converted in electronic
6 signals and spectrally binned by analyzing the pulse heights generated in a semiconductor
7 detection layer ²⁰. Recent developments showed promising results in the areas of abdominal
8 ^{21–25}, cardiovascular ^{25–30}, neurological ^{31–33}, and nanoparticle imaging ³⁴. In addition to those
9 possibilities, SPCCT will offer an improved spatial resolution due to smaller detector pixel
10 sizes compared to the current clinical standard. The influence of electronic noise is
11 significantly reduced in the direct-converting PCDs and can be considered as eliminated for
12 the energy levels of incoming x-ray photons ³⁵. Hence, the reduced pixel dimension in PCDs
13 comes along with a lower radiation exposure compared to (a similar reduction of detector
14 pixel size with) energy-integrating detectors.

15 In this study, we investigate the resolution capabilities of a preclinical SPCCT prototype
16 compared to a conventional CT by evaluating size and shape of lung nodules in a phantom
17 model, measuring the modulation transfer function (MTF) and demonstrating lung structure
18 visualization in an in-vivo acquisition of a rabbit.

19

20 **2. Materials and Methods**

21 *2.1. CT acquisition.* Images were acquired with a commercial 3rd generation 256-row clinical
22 CT scanner (iCT, Philips Healthcare, Best, The Netherlands) and a preclinical SPCCT
23 prototype scanner. The clinical CT scans were matched to a CTDI_{vol} of 7 mGy. The CT was
24 operated with 120 kVp, 107 mAs, and two different focal spot sizes: a small focal spot
25 resulting in high-resolution CT (HR-CT) and a standard focal spot (CT). The SPCCT was

1 operated with a step and shoot acquisition protocol with 120 kVp, 100 mAs and 1s gantry
 2 rotation time. The x-ray exposures of the CT and the SPCCT were chosen to equalize the Air
 3 KERMA. Acquisition parameter are listed in Table 1. Images were reconstructed with
 4 standard filtered backprojection (FBP).

	CT	HR-CT	SPCCT
Voltage	120 kVp	120 kVp	120 kVp
Current	246 mA	156 mA	100 mA
Helical pitch	0.758	0.585	–
Rotation time	0.33 s	0.4 s	1.0 s
X-ray exposure	107 mAs	107 mAs	100 mAs
Acquisition mode	Helical	Helical	Axial (step and shoot)
Focal spot mode	Standard	Small	Small
Focal spot size	1100 μm x 1200 μm	600 μm x 700 μm	600 μm x 700 μm
Physical detector pixel size	1408 μm x 1140 μm	1408 μm x 1140 μm	500 μm x 500 μm
Reconstruction kernel	Filter E	Filter YC	ramp filter
Reconstruction voxel size	130 μm x 130 μm x 625 μm	130 μm x 130 μm x 625 μm	130 μm x 130 μm x 250 μm

5 **Table 1.** Acquisition and reconstruction parameters.

6

7 *2.2. Spectral Photon Counting CT.*

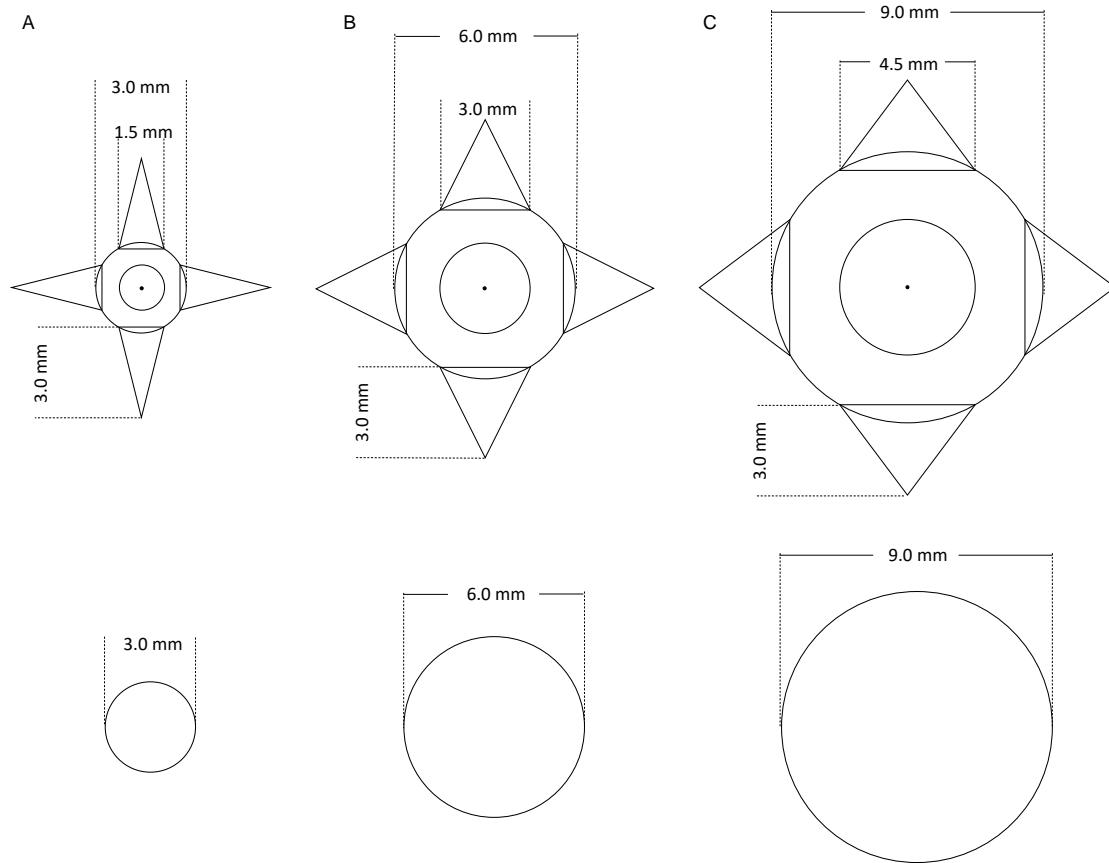
8 The preclinical SPCCT scanner (Philips Healthcare, Haifa, Israel) is based on a clinical CT
 9 system (Brilliance iCT, Philips Healthcare, Haifa, Israel) providing a conventional x-ray tube
 10 and standard beam filtration but with a limited in-plane field of view of 168 mm and a z-
 11 coverage of 2.5 mm at isocenter. The scanner is equipped with hybrid multi-bin photon
 12 counting detectors, based on ChromAIX2 ASICs (application specific integrated circuit) ³⁶
 13 combined with cadmium zinc telluride (CZT) as sensor material. The physical pitch of the

1 detector pixels is $500\ \mu\text{m} \times 500\ \mu\text{m}$. The projected focal spot size is $600\ \mu\text{m}$ in-plane and 700
2 μm in the z-direction.

3

4 *2.4. Lung Phantom.* Patient data acquired with a conventional clinical CT system were used
5 to build a digital model of a healthy lung. A threshold was applied to binarize the CT images
6 and to differentiate the complex lung structure from the background. Lung nodules with two
7 different geometries were simulated and inserted in the digital model—spheres to mimic
8 benign nodules and spheres with spikes to mimic malignant nodules (Figure 1), similar to the
9 FDA lung-phantom inserts³⁷. The three different sphere sizes had a diameter of 3, 6 and 9
10 mm. A board-certified radiologist assisted in the design process of the nodules and
11 determined the location in the model for a realistic representation. The customized lung
12 phantom was fabricated using an additive manufacturing technique of selective laser sintering
13 based on polyamide. Measured Hounsfield Units (HU) of the vessels and surrounding walls
14 of the lung phantom ($[-130,-90]$ HU) were similar to values measured in clinical CT images
15 ($[-130,+50]$ HU). Due to the manufacturing process, the lung phantom was filled with
16 powdered polyamide resulting in elevated HUs (about -580 HU). The background in the lung
17 of the patient data was about -875 HU.

18



1 **Figure 1.** Description of the inserted nodules. First row: spheres with spikes; second row:
 2 spheres. Column A) 3 mm nodules; B) 6 mm nodules; C) 9 mm nodules.

3

4 **2.5. Nodule segmentation.** Lung nodules were segmented from the reconstructed image data

5 (CT, HR-CT, SPCCT) for the evaluation of their volume and shape. The segmentation was

6 performed with an in-house developed tool based on a numerical computing environment

7 (MATLAB version R2017b, MathWorks, Massachusetts, USA). In each reconstruction, the

8 estimated center of mass of each nodule was selected. A spherical volume of interest (VOI)

9 around the selected center of mass was extracted from the images, with diameters of $d+1.5$

10 mm for spheres and $d+6.5$ mm for spheres with spikes (with d being the nodule size). The

11 MATLAB-internal function *kmeans*, which is an implementation of the k-means clustering

12 algorithm, was used with two clusters to separate the nodule structure from the background.

13 Then, any non-connected component to the center of mass was removed from the

1 segmentation. For each nodule, the segmentation was repeatedly performed three times to
2 reduce the impact of the chosen center of mass on the measurements. Reported results for
3 volume and shape quantification are the average over the three repeated segmentations.

4

5 *2.6. Nodule volume quantification.* The nodule volume was determined by multiplication of
6 the voxel count in one segmentation with the corresponding voxel size. The standard of
7 reference was the segmentation performed on the digital lung phantom. Due to the realistic
8 placement of the nodules inside the lung, connected parts to the center of mass of the nodules
9 were included within a certain VOI (see 2.5. Nodule segmentation). Nodule volumes were
10 evaluated with linear regression analysis and by comparing the different modalities to the
11 standard of reference in a Bland-Altman plot.

12

13 *2.7. Nodule shape quantification.* Due to different positioning of the lung phantom during
14 scanning the images are not registered to each other and also not to the reference image.

15 Therefore, nodule segmentations were semi-automatically registered to the reference
16 segmentation of the three-dimensional (3D) printing template. In a first step, each
17 segmentation was upscaled with cubic interpolation to isotropic voxel sizes of
18 $0.14 \times 0.14 \times 0.14 \text{ mm}^3$. In a second step, an expert in medical image processing measured
19 rotation angles of the segmentations with respect to the reference. The segmentations were
20 rotated around the x-, y- and z-axes to be in the same orientation as the reference. In a final
21 step, two-dimensional (2D) cross-correlation of the mid-slices of the segmentation was used
22 to shift to the same position as the reference.

23

24 After registration, the Dice similarity coefficient was computed to determine how well each
25 modality can represent the reference nodules. The Dice similarity coefficient is given by

1 (1) $dice(A, B_m) = 2 \cdot \frac{|A \cap B_m|}{|A| + |B_m|}$,

2 where A is the reference template, B_m is the segmentation for the different modalities m , \cap
3 denotes the intersection of two sets and $|\cdot|$ is the cardinal of a set. This results in the ratio of
4 how many voxels in B_m are correctly segmented. The Kolmogorov-Smirnov test showed a
5 standard normal distribution for the differences between Dice coefficients of the different
6 modalities. Thus, Dice coefficients for each of the nodules were compared between the
7 different modalities with a paired-sample t-test (two-tail, significance level: 0.05).

8

9 2.8. *Spatial resolution.* To evaluate the in-plane resolution of the CT and HR-CT images, the
10 vendor specific phantom (Philips iCT head system, Philips Healthcare, Haifa, Israel) with a
11 tungsten wire diameter of 200 μm was scanned at 120 kVp in the conventional CT. For the
12 evaluation of the in-plane resolution of the SPCCT prototype scanner a comparable self-made
13 phantom with a wire thickness of 100 μm was applied. The phantoms were aligned so that
14 the wire was parallel to the rotation axis of the system and close to the rotation center.

15

16 The resolution was evaluated quantitatively utilizing the MTF. A small region-of-interest
17 (ROI) around the wire was reconstructed using the same reconstruction filters and processing
18 as for the images of the lung phantom. The MTF was then determined similar to the approach
19 by Yu et al. ³⁸. Several image slices were averaged to reduce noise. The background was
20 calculated as the mean of the image region excluding the wire and subtracted from the image.
21 The resulting image was averaged radially around the wire to calculate a one-dimensional
22 profile. A Hankel transform was applied to the one-dimensional profile to obtain the MTF ³⁹.
23 The MTF was corrected for the finite size of the wire as described by Nickoloff ⁴⁰. Finally,
24 the MTF was normalized to achieve unity at zero frequency.

25

1 2.9. *In-vivo experiment.* A clinical HR-CT scan, selected from the departments Picture
2 Archiving and Communication system (PACS), was visually compared to an in-vivo SPCCT
3 acquisition of a New Zealand white rabbit (weight: 3.7 kg). The visual appearance was
4 assessed by one experienced radiologist (board-certified; 4 years of experience). The study
5 was approved by the French Department of Education and Research under the reference
6 number *APAFIS#1732-2015091411181645 V3*. All experiments were performed in
7 accordance with relevant guidelines and regulations.

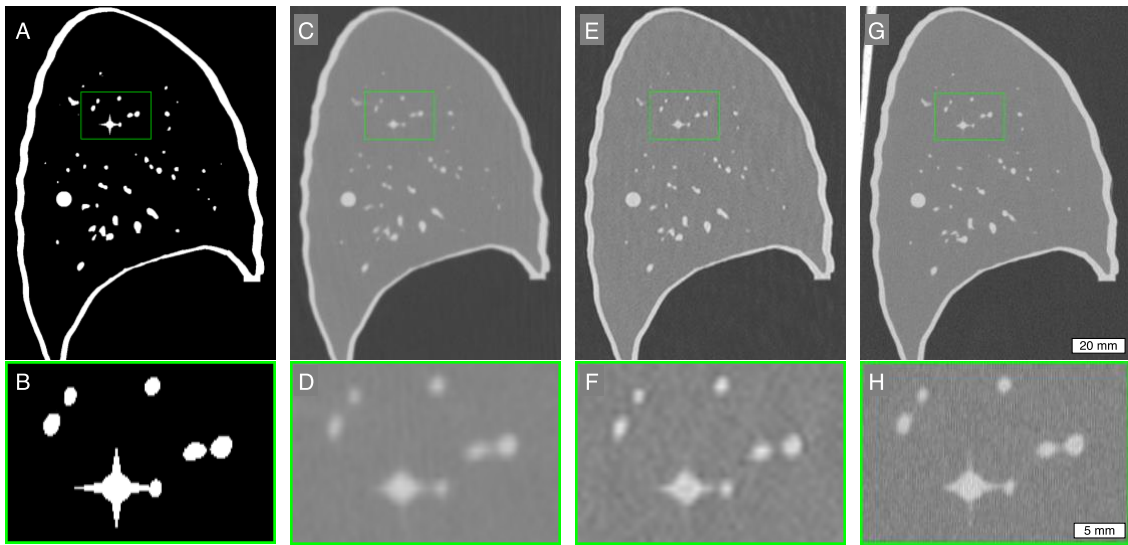
8

9 2.10. *Patient population.* Institutional review board approval was obtained prior to this study.
10 Written informed consent was waived by the institutional review board (Ethikkommission der
11 medizinischen Fakultät, Technical University of Munich, Germany) as all patients were
12 included retrospectively. All scans were performed exclusively for clinical use with clinical
13 standard protocols.

14

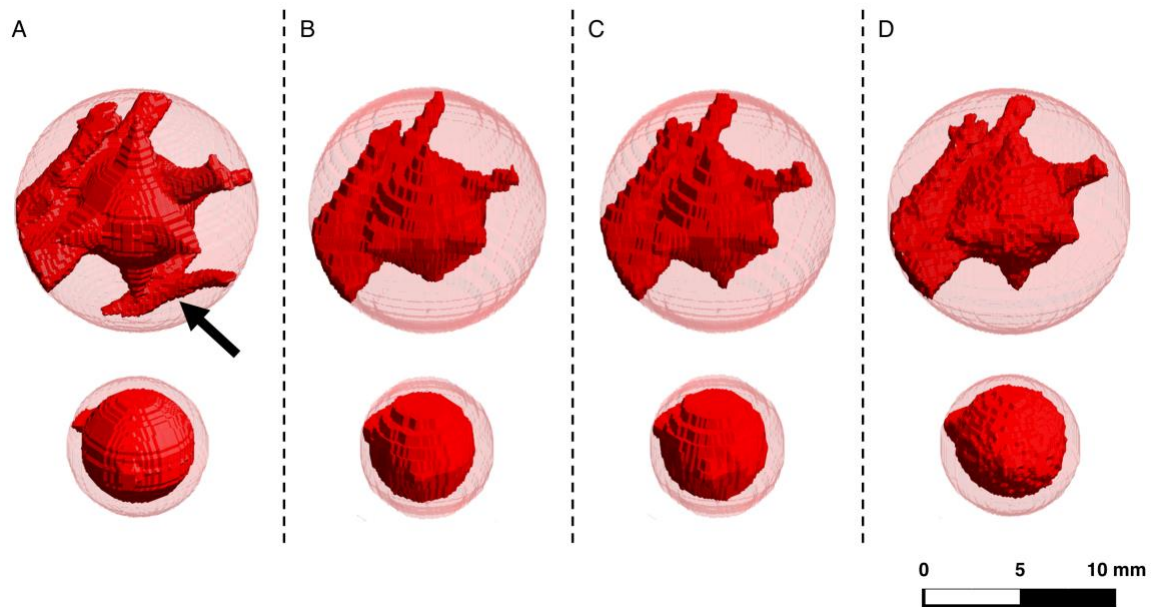
15 **3. Results**

16 Figure 2 illustrates a sagittal slice of the lung phantom with a magnification of the area
17 around the 3 mm sphere with spikes. Edges and boundaries were more prominent in images
18 of the SPCCT compared to CT and HR-CT. Moreover, small details such as the spikes are
19 closer in appearance to the reference.



1
 2 **Figure 2.** Comparison of different modalities with the reference. The upper row shows a
 3 sagittal slice through the lung phantom. The lower row is a magnification of the green
 4 rectangle in the corresponding image in the upper row. A, B) template for 3D printing
 5 (reference); C, D) CT; E, F) HR-CT; G, H) SPCCT. Note: There may be small variation in
 6 the structure of the different images due to the positioning of the phantom for each scan.
 7 Display window/level = 1700/-600 HU.

8
 9 Figure 3 shows exemplary the segmentation for the 6 mm nodules. The spherical VOI is
 10 visualized in light transparent red and the segmented nodule is visualized in opaque red.
 11 Visually, one can observe that the 3D renderings from SPCCT data gives the closest
 12 representation of the ground truth. However, a small blood vessel at the bottom of the sphere
 13 with spikes, indicated by a black arrow in the reference segmentation (Fig. 3A), is lost in
 14 every modality. The connection between the vessel and the peak of the bottom spike could
 15 not be identified in any modality. Hence, the vessel is not included in the segmentations of
 16 the different modalities.



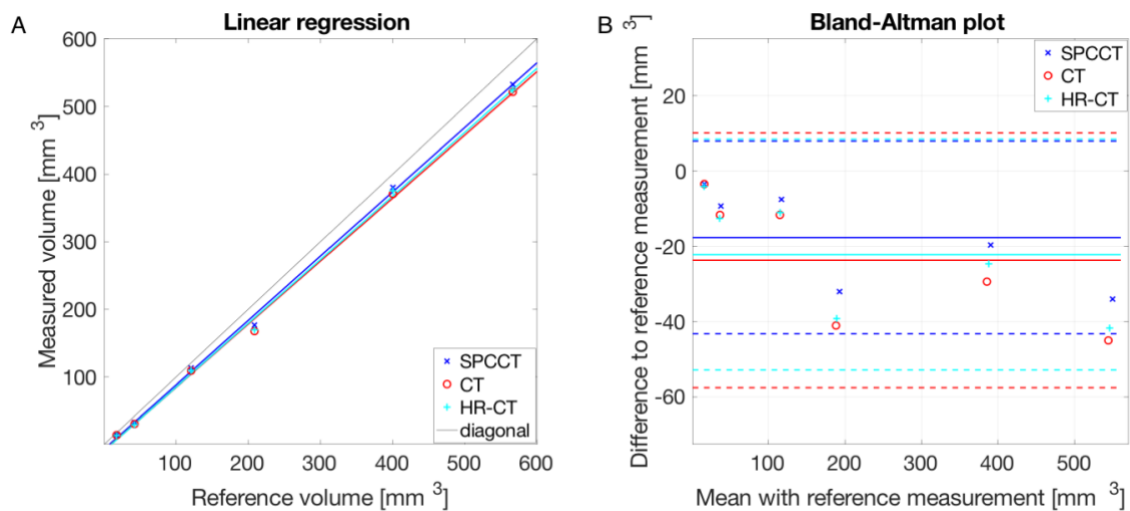
1
 2 **Figure 3.** Three-dimensional volume rendering of the 6 mm nodule segmentations for the
 3 different modalities. The upper row displays the spheres with spikes, and the lower row
 4 shows the spherical nodules. Column A) Reference used for 3D printing; B) CT; C) HR-CT;
 5 D) SPCCT.

6
 7 Volume estimation of the nodules showed an underestimation for all modalities. The linear
 8 regression gives the best results for SPCCT (slope: 0.952; intercept: -6.842 mm^3) compared
 9 to HR-CT (slope: 0.942; intercept: -9.208 mm^3) and CT (slope: 0.933; intercept: -8.622 mm^3)
 10 with a root mean squared error (RMSE) of 21.3 mm^3 , 26.4 mm^3 , and 28.5 mm^3 for SPCCT,
 11 HR-CT and CT, respectively (Table 2). Figure 4A shows a plot of the linear regression. The
 12 blue line for SPCCT is the closest to the diagonal line. Bland-Altman plots show a mean
 13 difference to the reference measurements of -17.68 mm^3 , -22.23 mm^3 and -23.73 mm^3 for
 14 SPCCT, HR-CT and CT, respectively. Ranges of differences are given by the 95% limits of
 15 agreement $[\Delta - 1.96 \cdot \delta, \Delta + 1.96 \cdot \delta]$, where Δ is the mean difference and δ is the standard
 16 deviation of the differences to the reference measurements. The ranges of differences were [-

1 43.30; 7.94] mm³, [-52.91; 8.44] mm³ and [-57.59; 10.14] mm³ for SPCCT, HR-CT and CT,
 2 respectively.

	Slope (95% CI)	Intercept (95% CI) [mm ³]	R-Square	RMSE [mm ³]
CT	0.933 (0.873; 0.994)	-8.622 (-26.818; 9.574)	0.998	28.5
HR-CT	0.942 (0.882; 1.003)	-9.208 (-27.401; 8.984)	0.998	26.4
SPCCT	0.952 (0.901; 1.003)	-6.842 (-22.147; 8.463)	0.999	21.3

3 **Table 2.** Summary of the linear regression. Linear regression was computed for the volume
 4 estimations over all nodule sizes and types. The values in the parentheses indicate the 95%
 5 confidence interval (CI).



8 **Figure 4.** Linear regression and Bland-Altman plot of the volume estimation. A) Linear
 9 regression, with the reference volume on the x-axes and the measured values on the y-axes.
 10 B) Bland-Altman plot comparing the measured volumes to the reference volume. The plot
 11 shows a smaller mean error of SPCCT (blue solid line, -17.68 mm³) compared to CT (red
 12 solid line, -23.73 mm³) and HR-CT (cyan solid line, -22.23 mm³) with narrower boundaries
 13 (mean±1.96*SD; SPCCT: [-43.30; 7.94], CT: [-57.59; 10.14], HR-CT: [-52.91; 8.44]).
 14

15

1 Dice similarity coefficients were consistently superior for SPCCT (mean: 0.90) compared to
 2 HR-CT and CT (both, mean: 0.85), Table 3. The two-tail paired t-test showed a significant
 3 difference ($P<0.05$) between the Dice coefficients of SPCCT and the values of HR-CT and
 4 CT (Table 3). The standard deviation of the Dice coefficients from three repeated
 5 segmentations indicate no substantial difference.

	Dice coefficient						Paired t-test (P-value)		
	9 mm sphere	9 mm star	6 mm sphere	6 mm star	3 mm sphere	3 mm star	CT	HR-CT	SPCCT
CT	0.920±0.000	0.895±0.001	0.907±0.001	0.851±0.000	0.799±0.000	0.753±0.006	/	0.962	0.002*
HR-CT	0.924±0.000	0.901±0.004	0.907±0.002	0.861±0.002	0.788±0.001	0.745±0.010	0.962	/	0.006*
SPCCT	0.970±0.000	0.930±0.003	0.935±0.000	0.880±0.002	0.870±0.001	0.789±0.005	0.002*	0.006*	/

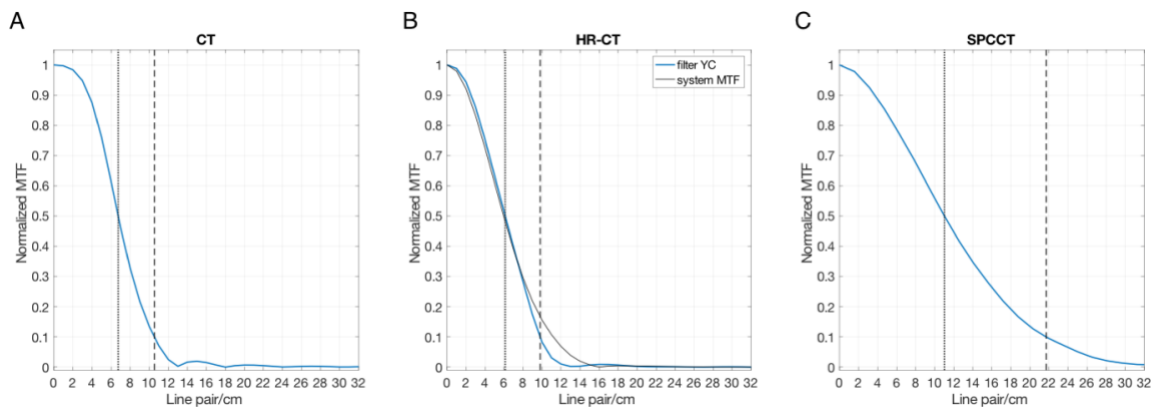
* indicates a significant difference ($P<0.05$)

6 **Table 3.** Dice similarity coefficients for each nodule and modality compared to the reference
 7 nodules. Values close to one indicate a high similarity to the reference. Dice coefficients are
 8 given as mean of three repeated segmentations with standard deviation (mean±SD). The
 9 paired t-test suggests a significant difference between the Dice coefficients for SPCCT and
 10 conventional CT (CT, HR-CT).

11

12 The MTF measurements are reported in Figure 5. The 50% (10%) MTF cutoff was 6.7 (10.5),
 13 6.1 (9.8) and 11.0 (21.7) LP/cm for CT, HR-CT and SPCCT, respectively.

14



15

16 **Figure 5.** MTF of the different modalities. A) Standard CT; B) HR-CT; C) SPCCT. The

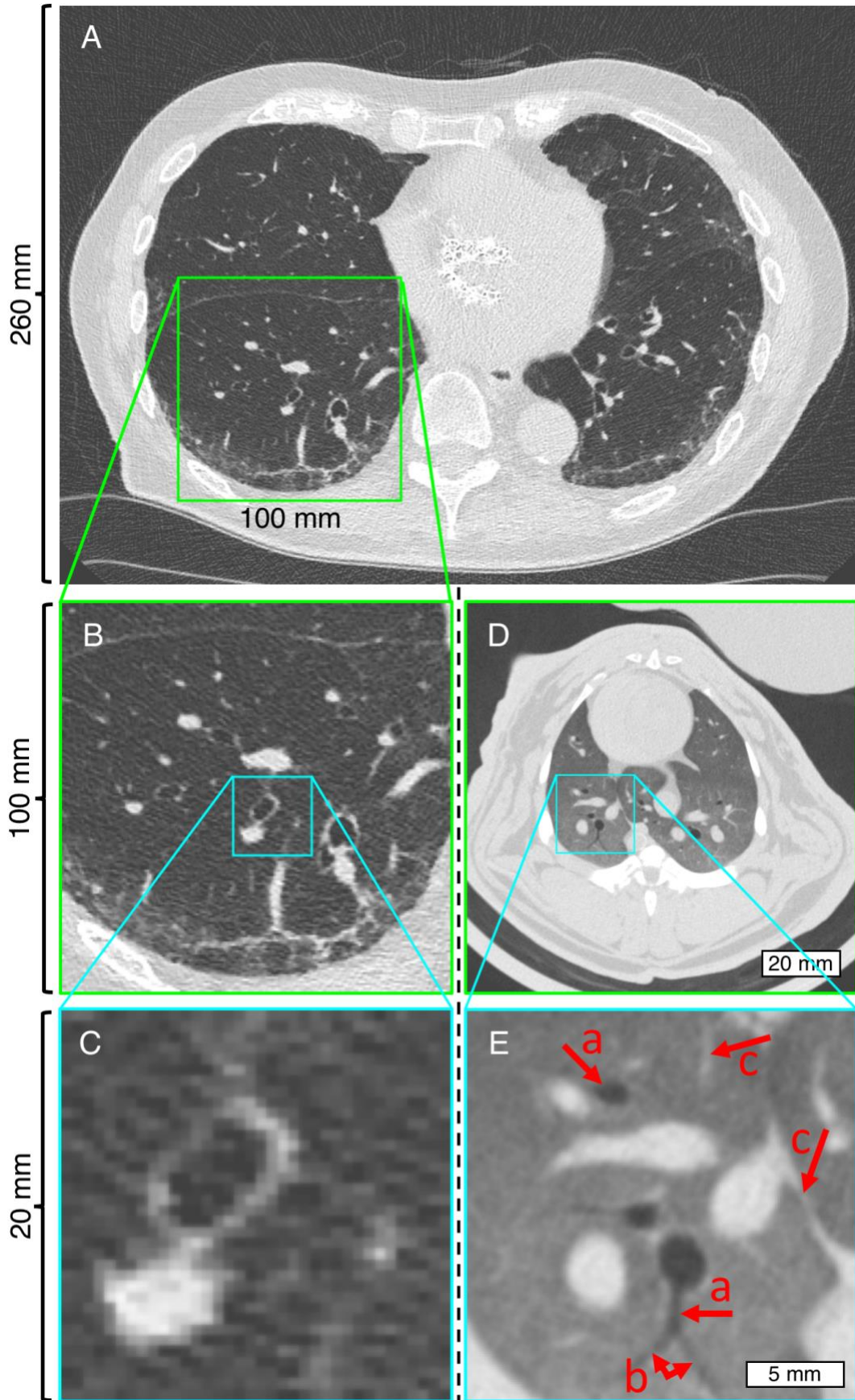
1 dotted line intersects the MTF at 50% and the dashed line intersects the MTF at 10%. The
2 small oscillations in the MTF curve in A) are caused due to unintended clipping of the data at
3 -1024 HU. In B) also the system MTF (with zero cutoff at 16 line pair/cm) for the HR mode
4 was added (solid black line).

5

6 Figure 6 shows a comparison between images acquired of an in-vivo rabbit with SPCCT and
7 a patient acquired with HR-CT. With HR-CT, bronchi and bronchioles down to a diameter of
8 1.5-2mm could be identified. An identification of smaller bronchioles (terminal, respiratory
9 and lobular bronchioles) is not possible due to limited resolution. Some secondary pulmonary
10 lobes and lobular arteries (1 mm in size) can be identified. With SPCCT, very small
11 bronchioles with a diameter of below 1mm (corresponding to a wall thickness of 0.15mm)
12 can be clearly identified (Fig. 6E, marked with arrow *a*). The branching of the dorsal
13 bronchiole (Fig. 6E, arrow *b*) shows a typical separation of lobular bronchioles, suggesting
14 that even lobular bronchioles can be visualized. Vessels to a diameter of below 0.4mm can be
15 identified (Fig. 6E, arrow *c*). Comparing images of HR-CT and SPCCT adjusted to the same
16 size, vessels and walls of bronchioles are visualized more distinctively.

17 Overall, the subjective image quality of SPCCT-images is superior to HR-CT images
18 regarding resolution and detectability of structures.

19



1

1 **Figure 6.** Comparison of images from HR-CT (A, B, C) and SPCCT (D, E). HR-CT shows a
2 clinical CT scan of a human lung and SPCCT shows the lung of an in-vivo rabbit. Images
3 with green and cyan frames have the same sizes, respectively. Image pixel sizes were
4 $0.56 \times 0.56 \text{ mm}^2$ for HR-CT and $0.13 \times 0.13 \text{ mm}^2$ for SPCCT. Display window/level = 1700/
5 600 HU.

6

7 **4. Discussion**

8 In this work, we investigated high-resolution imaging with a preclinical SPCCT prototype for
9 pulmonary imaging in comparison to a commercially available CT system. We showed that
10 the higher spatial resolution of SPCCT leads to a more precise assessment of lung nodules.
11 Moreover, the visual investigation of small pulmonary structures was superior for SPCCT in
12 the phantom and animal study.

13

14 Pourmorteza et al. illustrated that photon-counting detector CT (PCD-CT, a synonym for
15 SPCCT) has the potential to provide high-resolution images with lower image noise
16 compared to conventional CT³⁵. On this note, various academic-industrial research
17 collaborations are developing and evaluating multiple photon-counting detector concepts.

18 While basic concepts and the ultimate goal between the different platforms are similar,
19 individual parameters vary from concept to concept, e.g. detector pixel-size. As this is not the
20 focus of this work, we would like to refer interested readers to the work of Willemink et al.⁴¹

21 In our study, we also observed superior high-resolution capabilities of SPCCT compared to
22 conventional CT. However, we did not compare the noise levels of the different systems,
23 because a fair comparison of the image noise would require the same spatial resolution for
24 both systems. This would imply to reduce the spatial resolution of the SPCCT images, what
25 is not intended in this study. It is known that higher spatial resolution results in more image

1 noise given the same radiation dose. Reduced detector pixel sizes lead to a decreased number
2 of photons reaching each detector element. The reduced statistics at the detector generates an
3 uptake in noise. Moreover, in SPCCT high-energy photons and low-energy photons are
4 weighted to contribute equally to the signal. In contrast, in conventional CT high-energy
5 photons contribute relatively more to the signal than low-energy photons resulting in an
6 uptake in image noise.⁴¹ When it comes to low-dose CT another effect contributes to an
7 increased noise level. The contribution of electronic detector noise increases in conventional
8 CT. SPCCT, on the other hand, eliminates electronic detector noise to a certain extent by
9 counting the photons resulting in lower image noise at same resolution.

10

11 There were several limitations of this work. We used FBP instead of advanced iterative
12 reconstruction. Iterative reconstruction is known to deliver improved image quality compared
13 to traditional FBP and could probably improve the results for both scanners, the clinical CT ⁴²
14 and the SPCCT ⁴³. However, due to regularization and other non-linearities, the evaluation of
15 resolution becomes more challenging with iterative reconstruction ⁴⁴. With FBP, on the
16 contrary, a more suitable comparison between conventional CT and SPCCT is feasible
17 because effects of the reconstruction algorithms are reduced. Another limitation is the
18 uncertainty in the production process of the lung phantom. Synthetic lung nodules were
19 defined in the digital human lung model. For the 3D printing process, the digital lung model
20 was used as input to the printer. During these processing steps, as well as during 3D printing,
21 small errors might be propagated to the phantom due to interpolation or manufacturing
22 processes. This might partly contribute to the discrepancy between the measured and the
23 reference volumes. However, the RMSE in this work (21.3-28.5 mm³) is in the same range as
24 reported by Zhou et al. in a similar study assessing lung nodules (21.6-28.3 mm³)⁴⁵.

25

1 The presented results give a promising outlook to the high-resolution capabilities of the
2 SPCCT prototype for pulmonary imaging. Higher spatial resolution, better assessment of
3 lung nodule volume, and improved visibility of lung vessels compared to conventional CT
4 and HR-CT were achieved. This would not only allow an earlier detection and more precise
5 classifications of lung nodules but also improve the diagnostic confidence of radiologists
6 assessing other pulmonary abnormalities, like COPD. In conclusion, the assessment of lung
7 nodules could be improved with the presented preclinical SPCCT prototype. Especially the
8 investigation of small pulmonary structures is improved due to higher resolution and the
9 subjective higher image quality.

10

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18

19 **Data Availability**

20 The datasets generated during and/or analyzed during the current study are available from
21 the corresponding author on reasonable request.

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7

8 **Figure legends**

9 **Figure 1.** Description of the inserted nodules. First row: spheres with spikes; second row:
10 spheres. Column A) 3 mm nodules; B) 6 mm nodules; C) 9 mm nodules.

11

12 **Figure 2.** Comparison of different modalities with the reference. The upper row shows a
13 sagittal slice through the lung phantom. The lower row is a magnification of the green
14 rectangle in the corresponding image in the upper row. A, B) template for 3D printing
15 (reference); C, D) CT; E, F) HR-CT; G, H) SPCCT. Note: There may be small variation in
16 the structure of the different images due to the positioning of the phantom for each scan.
17 Display window/level = 1700/-600 HU.

18

19 **Figure 3.** Three-dimensional volume rendering of the 6 mm nodule segmentations for the
20 different modalities. The upper row displays the spheres with spikes, and the lower row
21 shows the spherical nodules. Column A) Reference used for 3D printing; B) CT; C) HR-CT;
22 D) SPCCT.

23

24 **Figure 4.** Linear regression and Bland-Altman plot of the volume estimation. A) Linear
25 regression, with the reference volume on the x-axes and the measured values on the y-axes.

1 B) Bland-Altman plot comparing the measured volumes to the reference volume. The plot
 2 shows a smaller mean error of SPCCT (blue solid line, -17.68 mm^3) compared to CT (red
 3 solid line, -23.73 mm^3) and HR-CT (cyan solid line, -22.23 mm^3) with narrower boundaries
 4 ($\text{mean} \pm 1.96 * \text{SD}$; SPCCT: $[-43.30; 7.94]$, CT: $[-57.59; 10.14]$, HR-CT: $[-52.91; 8.44]$).

5
 6 **Figure 5.** MTF of the different modalities. A) Standard CT; B) HR-CT; C) SPCCT. The
 7 dotted line intersects the MTF at 50% and the dashed line intersects the MTF at 10%. The
 8 small oscillations in the MTF curve in A) are caused due to unintended clipping of the data at
 9 -1024 HU . In B) also the system MTF (with zero cutoff at 16 line pair/cm) for the HR mode
 10 was added (solid black line).

11
 12 **Figure 6.** Comparison of images from HR-CT (A, B, C) and SPCCT (D, E). HR-CT shows a
 13 clinical CT scan of a human lung and SPCCT shows the lung of an in-vivo rabbit. Images
 14 with green and cyan frames have the same sizes, respectively. Image pixel were 0.56×0.56
 15 mm^2 for HR-CT and $0.13 \times 0.13 \text{ mm}^2$ for SPCCT. Display window/level = 1700/-600 HU.

16
 17 **Tables**

18

	CT	HR-CT	SPCCT
Voltage	120 kVp	120 kVp	120 kVp
Current	246 mA	156 mA	100 mA
Helical pitch	0.758	0.585	–
Rotation time	0.33 s	0.4 s	1.0 s
X-ray exposure	107 mAs	107 mAs	100 mAs
Acquisition mode	Helical	Helical	Axial (step and shoot)
Focal spot mode	Standard	Small	Small
Focal spot size	$1100 \mu\text{m} \times 1200 \mu\text{m}$	$600 \mu\text{m} \times 700 \mu\text{m}$	$600 \mu\text{m} \times 700 \mu\text{m}$

Physical detector pixel size	1408 μm x 1140 μm	1408 μm x 1140 μm	500 μm x 500 μm
Reconstruction kernel	Filter E	Filter YC	ramp filter
Reconstruction voxel size	130 μm x 130 μm x 625 μm	130 μm x 130 μm x 625 μm	130 μm x 130 μm x 250 μm

1 **Table 1.** Acquisition and reconstruction parameters.

2

	Slope (95% CI)	Intercept (95% CI) [mm^3]	R-Square	RMSE [mm^3]
CT	0.933 (0.873; 0.994)	-8.622 (-26.818; 9.574)	0.998	28.5
HR-CT	0.942 (0.882; 1.003)	-9.208 (-27.401; 8.984)	0.998	26.4
SPCCT	0.952 (0.901; 1.003)	-6.842 (-22.147; 8.463)	0.999	21.3

3 **Table 2.** Summary of the linear regression. Linear regression was computed for the volume
4 estimations over all nodule sizes and types. The values in the parentheses indicate the 95%
5 confidence interval (CI).

6

	Dice coefficient						Paired t-test (P-value)		
	9 mm sphere	9 mm star	6 mm sphere	6 mm star	3 mm sphere	3 mm star	CT	HR-CT	SPCCT
CT	0.920 \pm 0.000	0.895 \pm 0.001	0.907 \pm 0.001	0.851 \pm 0.000	0.799 \pm 0.000	0.753 \pm 0.006	/	0.962	0.002*
HR-CT	0.924 \pm 0.000	0.901 \pm 0.004	0.907 \pm 0.002	0.861 \pm 0.002	0.788 \pm 0.001	0.745 \pm 0.010	0.962	/	0.006*
SPCCT	0.970 \pm 0.000	0.930 \pm 0.003	0.935 \pm 0.000	0.880 \pm 0.002	0.870 \pm 0.001	0.789 \pm 0.005	0.002*	0.006*	/

* indicates a significant difference (P<0.05)

7 **Table 3.** Dice similarity coefficients for each nodule and modality compared to the reference
8 nodules. Values close to one indicate a high similarity to the reference. Dice coefficients are
9 given as mean of three repeated segmentations with standard deviation (mean \pm SD). The
10 paired t-test suggests a significant difference between the Dice coefficients for SPCCT and
11 conventional CT (CT, HR-CT).

12